

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Group Art Unit:

Unknown

ANDREAS BOCKHOLT ET AL.

Examiner:

Unknown

Serial No.:

10/595,646

Filed:

May 2, 2006

For:

ALPHA-ALKOXYSILANES AND USE THEREOF IN

ALKOXYSILANE TERMINATED PREPOLYMERS

Attorney Docket No.: WAS 0777 PUSA

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Mail Stop Amendment Commissioner for Patents U.S. Patent & Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and §§ 1.97-1.98, the references listed and identified on the attached Forms PTO/SB08A and/or SB08B are being submitted herewith for consideration by the Examiner. This statement is being filed in accordance with 37 C.F.R. § 1.97(b).

While this Statement is being filed in compliance with the duty of disclosure, citation of the listed references is not to be construed as an admission that any of the references are "material" as defined under 37 C.F.R. § 1.56(b).

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8 (FIRST CLASS MAIL)

I hereby certify that this paper, including all enclosures referred to herein, is being deposited with the United States Postal Service as first-class mail, postage pre-paid, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, U.S. Patent & Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 op

May 9, 2006
Date of Deposit

William G. Conger Name of Person Signing

Signature

Receipt date: 05/11/2006 10595646 - GAU: 4131

S/N: 10/595,646

Atty Dkt No. WAS 0777 PUSA

Accompanying the Information Disclosure Statement is the statement of Dr. Helmut Fritz describing the content of the references cited in the Information Disclosure Statement.

No copies of the listed U.S. patent references or the listed U.S. patent application publication references have been included herewith pursuant to 37 C.F.R. § 1.98(a)(2). All other references have been provided as required. Consideration and entry into the record of the listed references is respectfully requested.

Respectfully submitted,

ANDREAS BOCKHOLT ET AL.

William G. Conger

Reg. No. 31,209 Attorney for Applicant

Date: May 9, 2006

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

ANDREAS BOCKHOLT ET AL.

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For: ALPHA-ALKOXYSILANES AND USE THEREOF IN ALKOXYSILANE

TERMINATED PREPOLYMERS:

Information Disclosure Statement

Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir or Madam:

I, Helmut Fritz, associated with the preparation and prosecution of the aboveidentified application, residing at Kreuzstraße 11, 83624 Otterfing, Germany, wish to call the attention of the Patent Examiner to the references enumerated on the enclosed PTO Form-1449.

I believe the documents enumerated on the enclosed Form PTO-1449 and attached thereto, are cited in the enclosed application and the corresponding International Search Report may be material to the examination of the application.

Therefore, it is respectfully requested that the foregoing Information Disclosure Statement be considered by the Examiner and incorporated into the file of this application.

I wish to comment as follows concerning the prior art references enumerated on PTO Form-1449:

US 6,207,766 is already in English language.

US 3,971,751 is already in English language.

US 5,254,657 is already in English language.

US 5,068,304 is already in English language.

For EP 1256595 an English Patbase Abstract is enclosed.

For EP 1245601 an English Patbase Abstract is enclosed.

For EP 0885933 an English Patbase Abstract is enclosed.

Receipt date: 05/11/2006 10595646 - GAU: 4131

For DE 10142050 an English translation of the corresponding WO 03/018658 is enclosed.

For DE 10139132 an English Derwent Abstract is enclosed.

For EP 0596360 the corresponding US 5364955 is enclosed which is already in English language.

For WO 02/066532 the corresponding US 2004072921 is enclosed which is already in English language.

Signed this $28 \, \text{day}$ of April, 2006.

Dr. Helmut Fritz

Receipt date: 05/11/2006

Approved for use through 10/31/99. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office: U.S. DEPARTMENT OF COMMENCE
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Sizstitute for Form 1449A/PTO				Complete if Known		
				Application Number	10/595,646	
INFORMATION DISCLOSURE				Filing Date	May 2, 2006	
SIAIEM	FMIRA	API	PLICANT	First Named Inventor	Andreas Bockholt et al.	
				Group Art Unit	Unknown	
(use as many sheets as necessary)				Examiner Name	Unknown	
Sheet	1	of	2	Attorney Docket Number	WAS 0777 PUSA	

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No.1		MENT d Code² f known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/T.H./		3,971,751		Isayama et al.	07-27-1976	
/T.H./		5,068,304		Higuchi et al.	11-26-1991	
/T.H./		5,254,657		Inoue	10-19-1993	
/T.H./		5,364,955		Zwiener et al.	11-15-1994	
/T.H./		6,207,766		Doi et al.	03-27-2001	
/T.H./		2004/0072921		Stanjek et al.	04-15-2004	
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FOREIGN PATENT DOCUMENTS								
Examiner Initials	Cite No.1	Offic	Foreign Patent Docum	nent Kind Code ⁵ (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
/T.H./		EP	0 596 360 A1		Bayer AG	05-11-1994		
/T.H./		EP	0 885 933 A1		Hüls Silicone	12-23-1998		
/T.H./		EP	1 245 601 A1		Degussa AG	10-02-2002		
/T.H./		EP	1 256 595 A1		Sika AG	11-13-2002		
/T.H./		DE	101 39 132 A1		Consortium für	02-27-2003		
/T.H./		WO	02/066532 A1		Consortium für	08-29-2002		
/T.H./		WO	03/018658			03-06-2003_		

Examiner	/Toby Hain/	Date	04/00/0000
Signature	/Toby halli/	Considered	04/29/2009

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Receipt date: 05/11/2006

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PTO/SB/08B (10-96) [reproduced]
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for Form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Complete if Known				
				Application Number	10/595,646			
			=	Filing Date	May 2, 2006			
SIAIE	VIEIVI DY	APPLI	ICANI	First Named Inventor	Andreas Bockholt et a	1.		
				Group Art Unit	Unknown			
(use as many shee	ets as nece	essary)	Examiner Name	Unknown			
Sheet	2	of	2	Attorney Docket Number	WAS 0777 PUSA			
	OTHER P	RIOR A	RT NON P	ATENT LITERATUR	E DOCUMENTS			
Examiner Initials	Cite No.1		k, magazine, journal, s	CAPITAL LETTERS), title of the article (when appropriate), title of the erial, symposium, catalog, etc.), date, page(s), volume-issue number(s), lisher, city and/or country where published.				
/T.H./		Patent	Abstract Co	rresponding to EP 0 8	885 933 A1.			
/T.H./		Patent	Abstract Con	rresponding to EP 1 2	245 601 A1.			
/T.H./		Patent	Abstract Con	rresponding to EP 1 2	256 595 A1.			
/T.H./		Patent	Abstract Con	rresponding to DE 101	. 39 132 A1.			

Examiner	/Toby Hain/	Date	04/29/2009
Signature	, 10.09 , 10.11.	Considered	0 1/20/2000

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.